PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PATENT APPLICATION  First Inventor   William S. Becker								
PATENT APPLICATION								
TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))  Title WIND TURBINE DEVICE	E							
Express Mail Label No. EV341012885US	885US 0							
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  MS Patent Application Commissioner for Pater P.O. Box 1450  Alexandria, VA 22313	ב							
Alexandria, VA 22313-1450  1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Applicant claims small entity status.  Specification  [Total Pages 40]  (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence isting, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Disclosure - Claim(s) - Abstract of the Disclosure - A. X Drawing(s) (35 U.S.C. 113)  [Total Sheets 1]  S. Oath or Declaration  [Total Sheets 1]  Mewly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 computer Program (Appendix)  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or ii. If CD-ROM or CD-R (2 copies);								
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
19. CORRESPONDENCE ADDRESS								
X Customer Number or Bar Code Label 04743 or X Corresponde	ence address below							
MARSHALL, GERSTEIN & BORUN LLP Richard B. Hoffman								
Address 233 S. Wacker Drive, Suite 6300 Sears Tower								
City Chicago State IL Zip Code 60606-63	357							
0. ( ) 110	<del></del>							
Name (Print/Type) Richard B. Hoffman Registration No. (Attorney/Agent) 26,910								
Signature Richard Date July 29, 20								

PTO/SB/17 (05-03)

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FEE I KANSIVII I AL		Application Number			er	Not Yet Assigned		
for FY 2003		Filing Date				Concurrently Herewith		
		First Named Inventor			tor	William S. Becker		
Effective 01/01/2003, Patent fees are subject to annual revision.		Examiner Name				Not Yet Assigned		
x Applicant claims small entity status. See 37 CFR 1.27	Art Unit				N/A			
TOTAL AMOUNT OF PAYMENT (\$) 1,500.00	Attorney Docket No.		27611/38403A					
METHOD OF PAYMENT (check all that apply)				FEE	CALCUL	ATION (co	ntinued)	
X Check Credit Money Other None	3. A	DDITIO	ONAL	FEES				
X Check Card Other Money Other 3. ADDITIONAL FEES								
Deposit Account								
r Deposit ( )		Entity Fee	Fee	Entity Fee	-			
Number	Fee Code	(\$)	Code	(\$)		Fee Desc	ription	Fee Paid
Deposit MARSHALL, GERSTEIN &	1051	130	2051	65	Surcharge -	- late filing fee	e or oath	
Name BORUN LLP		50	2052	25	Surcharge -	- late provisio	nal filing fee or cover	
The Director is hereby authorized to: (check all that apply)	1052	30	2052 25 Suicharge – late provisional filling fee of cover sheet.					
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English	h specification	า	
X Charge any additional fee(s) during the pendency of this application			arte reexamination					
	application		1804	920*		publication o	f SIR prior to	
Charge fee(s) indicated below, except for the filing fee					Examiner a Requesting	iction Loublication o	f SIR after	<b></b> -
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Examiner a			
FEE CALCULATION	1251	110	2251	55		or reply within		
1. BASIC FILING FEE	1252	410	2252	205			second month	
Large Entity   Small Entity   Fee   Fee   Fee   Fee   Fee   Paid	1253	930	2253	465		or reply within		
Code (\$) Code (\$)	1254	1,450	2254	725	Extension f	or reply within	fourth month	
1001 750 2001 375 Utility filing fee 375.00	1255	1,970	2255	985	Extension for	or reply within	i fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401		•	ce of Appeal		<b>-</b>
1003 520 2003 260 Plant filing fee	1402	320	2402	160	_	ng a brief in support of an appeal		<del>                                     </del>
1004 750 2004 375 Reissue filing fee	1403	280	2403			equest for oral hearing		<u> </u>
1005   160   2005   80   Provisional filing fee		1,510 110	1451 2452	55		to institute a public use proceeding to revive - unavoidable		
SUBTOTAL (1) (\$) 375.00		1,300	2453	650		o revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,300	2501	650		ue fee (or reissue)		
Extra Fee from		470	2502	235	Design issu			
Claims 131 -20** = 111 x 9.00 = 999.00 1503 630 2503 315 Plant issue fee								
Independent 6 -3** = 3 x 42.00 = 126.00	1460	130	1460	130		the Commiss	ioner	
Claims	1807	50	1807	50		fee under 37		<del></del>
Multiple Dependent = =					_		<b></b>	
Large Entity Small Entity Fee		180	1806	180			n Disclosure Stmt	<b></b>
Code (\$) Code (\$)	8021	40	8021	40	property (tir	ding each patent assignment per rty (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375		ng a submission after final rejection CFR 1.129(a))		1
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each ad	each additional invention to be		
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims	1801	750	2801	375		ined (37CFR 1.129(b)) est for Continued Examination (RCE)		<del>  </del>
over original patent	Į.				•	uest for expedited examination (RCE)		<b></b>
1205 18 2205 9 ** Reissue claims in excess of 20	of a design application		<b></b>					
·			Other fee (specify)					
SUBTOTAL (2) (\$) 1,125.00	*Redu	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00						
**or number previously paid, if greater; For Reissues, see above								
SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Richard B. Hoffman Registrat. (Attorney).				,910		Telephone	(312) 474-6616	
Signature Signature Date July 29					July 29, 2003			

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

William S. Becker

Application No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

For: WIND TURBINE DEVICE

## GENERAL AUTHORIZATION UNDER 37 CFR 1.136(a)(3)

Box Patent Application Commissioner for Patents P.O. Box 1450 Arlington, VA 22314-1450

Sir:

Applicants hereby request and authorize that any future reply concerning this patent application requiring a petition for an extension of time under 37 CFR 1.136 for its timely submission be treated as incorporating a petition for extension of time for the appropriate length of time.

Respectfully submitted,

Date: July 30, 2003

Richard B. Hoffman, Reg. No. 26,910

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